

# Computational Commutative Algebra and Geometry

EE451 Supervised Research Exposition

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# Polynomials I

## Introduction

### Definition (Monomial)

A monomial in  $(x_1, x_2, \dots, x_n)$ , denoted by  $x^\alpha$  is defined as follows

$$x^\alpha = x_1^{\alpha_1} \cdot x_2^{\alpha_2} \cdots x_n^{\alpha_n} \quad (\alpha_i \in \mathbb{Z}^+ \text{ and } \alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)) \quad (1)$$

Note, when  $\alpha = (0, 0, \dots, 0)$  we take  $x^\alpha = 1$ . The collection of all such  $\alpha$  is denoted by  $\mathbb{Z}_{\geq 0}^n$ .

### Definition (Total degree of a monomial)

The total degree of a monomial, denoted by  $|\alpha|$  is defined as

$$|\alpha| = \alpha_1 + \alpha_2 + \cdots + \alpha_n \quad (2)$$

# Polynomials II

## Introduction

### Definition (Polynomial)

A polynomial  $f$  in  $(x_1, x_2, \dots, x_n)$  is a finite sum denoted by

$$f(x_1, x_2, \dots, x_n) = f(x) = f = \sum_{\alpha} a_{\alpha} x^{\alpha} \quad (\text{where } a_{\alpha} \in \mathbb{F} \text{ and } \alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)) \quad (3)$$

Here,  $a_{\alpha}$  is the coefficient of  $x^{\alpha}$  and  $a_{\alpha} x^{\alpha}$  is called a term of  $f$  provided  $a_{\alpha} \neq 0$ .

### Definition (Total degree of a polynomial)

The maximum total degree of a monomial of  $f$  which has non-zero coefficient, i.e.

$$\deg(f) = \max_{\alpha \neq 0} |\alpha| \quad (4)$$

The collection of all polynomials in  $(x_1, x_2, \dots, x_n)$  with coefficients in  $\mathbb{F}$  forms a commutative ring (more specifically a *polynomial ring*) which is denoted by  $\mathbb{F}[x_1, x_2, \dots, x_n]$ .

# Polynomials III

## Introduction

### Example

$$\begin{aligned} f &= 4xy^2z + 4z^2 - 5x^3 + 7x^2z^2 \in \mathbb{Q}[x, y, z] \\ f &= \text{sum}\{(4, (1, 2, 1)), (4, (0, 0, 2)), (-5, (3, 0, 0)), (7, (2, 0, 2))\} \end{aligned} \tag{5}$$

What about order?

# Monomial Orderings

## Motivation

### Definition (Monomial Ordering)

A monomial ordering is a relation  $>$  on monomials  $x^\alpha$ ,  $\alpha \in \mathbb{Z}_{\geq 0}^n$  which satisfies the below properties.

- $>$  is a total order, i.e., for  $\beta \in \mathbb{Z}_{\geq 0}^n$  exactly one of the following happens

$$x^\alpha > x^\beta \text{ or } x^\alpha < x^\beta (\equiv x^\beta > x^\alpha) \text{ or } x^\alpha = x^\beta (\equiv x^\alpha \not> x^\beta, x^\beta \not> x^\alpha) \quad (6)$$

- $\alpha > \beta, \gamma \in \mathbb{Z}_{\geq 0}^n \Rightarrow \alpha + \gamma > \beta + \gamma$
- $>$  is a well-ordering, i.e.,

$$\text{for non-empty } A \subseteq \mathbb{Z}_{\geq 0}^n \Rightarrow \exists! \alpha \text{ such that } \beta \geq \alpha \text{ for } \beta \in \mathbb{Z}_{\geq 0}^n \quad (7)$$

or equivalently, every strictly decreasing sequence  $\{\alpha(i)\}$  eventually terminates.

# Monomial Orderings

## Examples

### Definition (Lexicographic Order)

For  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$  and  $\beta = (\beta_1, \beta_2, \dots, \beta_n) \in \mathbb{Z}_{\geq 0}^n$ ,  $\alpha >_{\text{lex}} \beta$  if leftmost non-zero entry of  $\alpha - \beta$  is positive.

### Definition (Graded Lex Order)

For  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$  and  $\beta = (\beta_1, \beta_2, \dots, \beta_n) \in \mathbb{Z}_{\geq 0}^n$ ,  $\alpha >_{\text{grlex}} \beta$  if  $|\alpha| > |\beta|$  or ( $|\alpha| = |\beta|$  and  $\alpha >_{\text{lex}} \beta$ )

### Definition (Graded Reverse Lex Order)

For  $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_n)$  and  $\beta = (\beta_1, \beta_2, \dots, \beta_n) \in \mathbb{Z}_{\geq 0}^n$ ,  $\alpha >_{\text{grevlex}} \beta$  if  $|\alpha| > |\beta|$  or ( $|\alpha| = |\beta|$  and rightmost non-zero entry of  $\alpha - \beta$  is negative)

## Examples

$f$  of 5 with respect to grlex order is as follows,

### Example (Lexicographic Order)

$$\begin{aligned} f &= -5x^3 + 7x^2z^2 + 4xy^2z + 4z^2 \\ f &= \text{sum}\{(-5, (3, 0, 0)), (7, (2, 0, 2)), (4, (1, 2, 1)), (4, (0, 0, 2))\} \end{aligned} \quad (8)$$

### Example (Graded Lex Order)

$$\begin{aligned} f &= 7x^2z^2 + 4xy^2z - 5x^3 + 4z^2 \\ f &= \text{sum}\{(7, (2, 0, 2)), (4, (1, 2, 1)), (-5, (3, 0, 0)), (4, (0, 0, 2))\} \end{aligned} \quad (9)$$

### Example (Graded Reverse Lex Order)

$$\begin{aligned} f &= 4xy^2z + 7x^2z^2 - 5x^3 + 4z^2 \\ f &= \text{sum}\{(4, (1, 2, 1)), (7, (2, 0, 2)), (-5, (3, 0, 0)), (4, (0, 0, 2))\} \end{aligned} \quad (10)$$



# Monomial Ordering-Specific Terminology

For a non-zero  $f = \sum_{\alpha} a_{\alpha} x^{\alpha}$ , and a monomial order  $>$

Definition (multidegree of  $f$ )

$$\text{multideg}(f) = \max_{w.r.t. >} (\alpha \in \mathbb{Z}_{\geq 0}^n | a_{\alpha} \neq 0) \quad (11)$$

Definition (leading coefficient of  $f$ )

$$\text{LC}(f) = a_{\text{multideg}(f)} \in \mathbb{F} \quad (12)$$

Definition (leading monomial of  $f$ )

$$\text{LM}(f) = x^{\text{multideg}(f)} \quad (13)$$

Definition (leading term of  $f$ )

$$\text{LT}(f) = \text{LC}(f) \cdot \text{LM}(f) \quad (14)$$

# Division Algorithm I

## Theorem (Division Algorithm (Multivariate Polynomials))

For any  $f \in \mathbb{F}[x_1, x_2, \dots, x_n]$ ,  $F = (f_1, f_2, \dots, f_s)$  where  $f_i \in \mathbb{F}[x_1, x_2, \dots, x_n]$  on a monomial order,  $\exists q_i, r \in \mathbb{F}[x_1, x_2, \dots, x_n]$  where either  $r = 0$  or  $r = \sum_{\alpha} a_{\alpha} \cdot x^{\alpha}$ ,  $\text{LT } f_i \nmid x^{\alpha}, \forall i, \alpha$ .

Moreover,  $q_i \cdot f_i \neq 0 \Rightarrow \text{multideg}(f) \geq \text{multideg}(q_i \cdot f_i)$

Note, the remainder and quotients are not uniquely determined, they may change with permutation of  $F$ . Applying the division algorithm on  $f = xy^2 - x$  over  $F = (f_1, f_2) = (y^2 - 1, xy^2 - x)$  gives  $(q_1, q_2, r) = (x, 0, 0) \Rightarrow f \in \langle f_1, f_2 \rangle$  whereas, over  $F = (f_2, f_1)$  gives  $(q_1, q_2, r) = (y, 0, -x + y)$ .

# The Division Algorithm I

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**Algorithm 1** Polynomial Division (Single Variable)<sup>1</sup>

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**Input:**  $f, g$  where  $f, g \in \mathbb{F}[x], g! = 0$

**Output:**  $q, r$

$q \leftarrow 0$

$r \leftarrow f$

**while**  $r \neq 0$  and  $\text{LT}(g) \mid \text{LT}(r)$  ( $a \mid b$  is  $a$  divides  $b$ ) **do**

$q \leftarrow q + \frac{\text{LT}(r)}{\text{LT}(g)}$

$r \leftarrow r - \frac{\text{LT}(r)}{\text{LT}(g)}g$

**end while**

**return**  $q, r$

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<sup>1</sup>Donal O'Shea David A. Cox, John Little. Ideals, Varieties, and Algorithms: An Introduction to Computational Algebraic Geometry and Commutative Algebra.

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## Algorithm 2 Polynomial Division (Multiple Variable)<sup>2</sup>

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**Input:**  $F = (f_1, f_2, \dots, f_s)$  and  $f$  where  $f, f_i \in \mathbb{F}[x_1, x_2, \dots, x_n]$

**Output:**  $q_1, q_2, \dots, q_s, r$

$q_i \leftarrow 0, \forall i$

$r \leftarrow f$

$p \leftarrow f$

**while**  $p \neq 0$  **do**

$i \leftarrow 1$

  division  $\leftarrow$  false

**while**  $i \leq s$  and division = false **do**

**if**  $\text{LT}(f_i) \mid \text{LT}(p)$  **then**

$q_i \leftarrow q_i + \frac{\text{LT}(p)}{\text{LT}(f_i)}$

$p \leftarrow p - \frac{\text{LT}(p)}{\text{LT}(f_i)} f_i$

      division  $\leftarrow$  true

**else**

$i \leftarrow i + 1$

**end if**

**end while**

**if** division = false **then**

$r \leftarrow r - \text{LT}(p)$

$p \leftarrow p - \text{LT}(p)$

**end if**

**end while**

**return**  $q_1, q_2, \dots, q_s, r$

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<sup>2</sup>Donal O'Shea David A. Cox, John Little. Ideals, Varieties, and Algorithms: An Introduction to Computational Algebraic Geometry and Commutative Algebra.

# Affine Varieties

## Definition (Affine Space)

An  $n$ -dimensional affine space over  $\mathbb{F}$  is a set denoted by  $\mathbb{F}^n$  and defined as follows

$$\mathbb{F}^n = \{(a_1, a_2, \dots, a_n) \mid a_i \in \mathbb{F}\} \quad (15)$$

Now, a polynomial  $f$  can be defined as a function  $f : \mathbb{F}^n \rightarrow \mathbb{F}$ , where each  $x_i$  gets replaced by  $a_i$ .

## Definition (Affine Varieties)

An affine variety  $V$  (over polynomials  $f_1, f_2, \dots, f_s$ ) is defined as follows

$$V = \mathbf{V}(f_1, f_2, \dots, f_s) = \{(a_1, a_2, \dots, a_n) \in \mathbb{F}^n \mid f_i(a_1, a_2, \dots, a_n) = f_i(a) = 0 \forall i\} \quad (16)$$

# Varieties I

## Examples

### Example

Consider, multivariate polynomials with total degree = 1 (*i.e.*, *linear polynomials*).

Say,  $f_i(x) = \alpha_{i_0} + \sum_{j=1}^n \alpha_{i_j} \cdot x_j$  where,  $\alpha_{i_j} \in \mathbb{F}$ .

Now, this can be converted to a linear algebra problem of solving system of linear equations  $Ax = b$  where,  $(i, j)^{\text{th}}$  entry of  $A$  is given by  $[A_{i,j}] = \alpha_{i_j}$  and  $(i)^{\text{th}}$  entry of  $b$  is given by  $[b_i] = -\alpha_{i_0}$ .

# Varieties II

## Examples

### Example

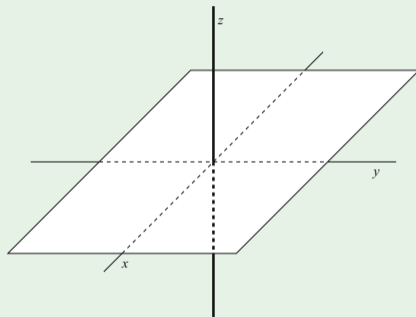


Figure:  $\mathbf{V}(xz, yz)$  - a union of a line and a plane<sup>a</sup>

<sup>a</sup>Donal O'Shea David A. Cox, John Little. Ideals, Varieties, and Algorithms: An Introduction to Computational Algebraic Geometry and Commutative Algebra.

# Varieties

## Motivation

The questions of interests concerning an affine variety  $V = \mathbf{V}(f_1, f_2, \dots, f_s)$  are

**Consistency** Is there a way to determine if  $V$  is non-empty. Then, we will know if the system  $f_i(x) = 0$  is *consistent*.

**Finiteness** Is there a way to determine if  $V$  is finite. Then, the next problem is about whether we can find all such solutions.

**Dimension** Is there a way to determine the “dimension” of  $V$ .



## Definition (Ideal)

A subset  $I \subseteq \mathbb{F}[x_1, x_2, \dots, x_n]$  which satisfies the below properties is called an Ideal.

- $0 \in I$
- $f(x), g(x) \in I \Rightarrow f(x) + g(x) \in I, \forall x \in \mathbb{F}^n$
- $f(x) \in I \Rightarrow h(x)f(x) \in I, \forall h(x) \in \mathbb{F}[x_1, x_2, \dots, x_n]$  and  $\forall x \in \mathbb{F}^n$

As  $I$  is subset, its operations are same as defined over  $\mathbb{F}[x_1, x_2, \dots, x_n]$ .

## Definition (Ideal of an affine variety)

The set  $\mathbf{I}(V)$  is the ideal of an affine variety.

$$\mathbf{I}(V) = \{f \in \mathbb{F}[x_1, x_2, \dots, x_n] \mid f(a_1, a_2, \dots, a_n) = 0, \forall a \in V\} \quad (17)$$

It is trivial to show that  $\mathbf{I}(V)$  is indeed an ideal, as for any  $a \in V$ :

- $0 \in \mathbf{I}(V)$  as  $0(a) = 0, \forall a \in V$
- $f, g \in \mathbf{I}(V) \Rightarrow f(a) = g(a) = 0 \Rightarrow f(a) + g(a) = 0 \Rightarrow f + g \in \mathbf{I}(V)$
- $f \in \mathbf{I}(V) \Rightarrow f(a) = 0 \Rightarrow h(a)f(a) = 0 \Rightarrow hf \in \mathbf{I}(V)$

## Ideals III

### Lemma

For  $f_1, f_2, \dots, f_s \in \mathbb{F}[x_1, x_2, \dots, x_n]$ ,  $\langle f_1, f_2, \dots, f_s \rangle$  is the ideal generated by  $f_1, f_2, \dots, f_s$ . Also,  $f_1, f_2, \dots, f_s$  is a generating set of  $\langle f_1, f_2, \dots, f_s \rangle$ .

$$I = \langle f_1, f_2, \dots, f_s \rangle = \left\{ \sum_{i=1}^s h_i \cdot f_i \mid h_i \in \mathbb{F}[x_1, x_2, \dots, x_n] \right\} \quad (18)$$

It is trivial to show that  $\langle f_1, f_2, \dots, f_s \rangle$  is indeed an ideal, use the representation 18 and verify the three properties.

### Definition (Finitely Generated Ideal)

An ideal  $I$  is finitely generated if

$$\exists f_1, f_2, \dots, f_s \in \mathbb{F}[x_1, x_2, \dots, x_n] \text{ such that } I = \langle f_1, f_2, \dots, f_s \rangle \quad (19)$$

# Ideals

## Motivation

The questions of interests concerning an ideal  $I \subseteq \mathbb{F}[x_1, x_2, \dots, x_n]$  are

**Ideal Description** Does every ideal  $I \subseteq \mathbb{F}[x_1, x_2, \dots, x_n]$  has a finite generating set.

**Ideal Membership** If  $I = \langle f_1, f_2, \dots, f_s \rangle$ , is there a way to determine if  $f \in I$  .

**Nullstellensatz** Is there an exact relation between  $\langle f_1, f_2, \dots, f_s \rangle$  and  $\mathbf{I}(\mathbf{V}(f_1, f_2, \dots, f_s))$  where the set  $\mathbf{I}(V)$  is the ideal of an affine variety given by,

$$\mathbf{I}(V) = \{f \in \mathbb{F}[x_1, x_2, \dots, x_n] \mid f(a_1, a_2, \dots, a_n) = 0, \forall a \in V\} \quad (20)$$

# Gröbner Bases I

## Theorem (Hilbert Basis Theorem (Ideal Description Problem))

Every ideal  $I \subseteq \mathbb{F}[x_1, x_2, \dots, x_n]$  has a finite basis.

## Definition (Gröbner Basis)

For a fixed monomial ordering on  $\mathbb{F}[x_1, x_2, \dots, x_n]$  and

$G = \{g_1, g_2, \dots, g_t\}$ ,  $G$  is called a Gröbner basis of a non-zero ideal  $I \subseteq \mathbb{F}[x_1, x_2, \dots, x_n]$  if

$$\langle \text{LT}(I) \rangle = \langle \text{LT}(g_1), \text{LT}(g_2), \dots, \text{LT}(g_t) \rangle \quad (21)$$

where,

$$\text{LT}(I) = \{a_\alpha x^\alpha \mid \exists f \in I \setminus \{0\} \text{ such that } \text{LT}(f) = a_\alpha x^\alpha\} \quad (22)$$

The Gröbner basis of  $I = \{0\}$  is defined as  $\emptyset$ .

## Gröbner Bases II

### Proposition (Property of Gröbner Bases)

*For a Gröbner basis  $G = \{g_1, g_2, \dots, g_t\}$  for an ideal  $I \subseteq \mathbb{F}[x_1, x_2, \dots, x_n]$  and a given  $f \in \mathbb{F}[x_1, x_2, \dots, x_n]$ ,  $\exists! r \in \mathbb{F}[x_1, x_2, \dots, x_n]$  such that no term of  $r$  is divisible by  $\text{LT}(g_i)$  for any  $i$ . The uniqueness of remainder is the reason the ordered tuple we divide with is a set.*

### Theorem (Ideal Membership Problem)

*For a Gröbner basis  $G = \{g_1, g_2, \dots, g_t\}$  for an ideal  $I \subseteq \mathbb{F}[x_1, x_2, \dots, x_n]$ ,*

$$f \in I \Leftrightarrow \text{remainder on division of } f \text{ by } G \text{ is zero.} \quad (23)$$

# Computation of Gröbner Basis I

## Definition

- $\bar{f}^F$  is the remainder on division of  $f$  by  $F = (f_1, f_2, \dots, f_s)$ .
- $x^\gamma = \text{lcm}(\text{LM}(f), \text{LM}(g))$ , i.e.,  $\gamma_i = \max(\alpha_i, \beta_i)$  where  $\text{multideg}(f) = \alpha$ ,  $\text{multideg}(g) = \beta$ .
- $S(f, g) = \left( \frac{x^\gamma}{\text{LT}(f)} \cdot f - \frac{x^\gamma}{\text{LT}(g)} \cdot g \right)$  is the  $S$ -polynomial of  $f, g$ .

## Theorem (Buchberger's Criterion)

A basis  $G = \{g_1, \dots, g_t\}$  is a Gröbner basis of  $I \subseteq \mathbb{F}[x_1, x_2, \dots, x_n]$  iff  $\overline{S(g_i, g_j)}^G = 0, \forall i, j (i \neq j)$

## Theorem (Buchberger's Algorithm)

For a non-zero ideal  $I = \langle f_1, f_2, \dots, f_s \rangle$ , Gröbner basis for  $I$  is constructed as follows:  
Given a basis, we can extend the basis to a Gröbner basis by repeatedly adding the non-zero remainders of  $S$ -polynomials between pairs of basis until Buchberger's Criterion is satisfied.

# Computation of Gröbner Basis II

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## Algorithm 3 Buchberger's Algorithm<sup>3</sup>

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**Input:**  $F = (f_1, f_2, \dots, f_s)$  where  $f_i$ 's are non-zero

**Output:**  $G = (g_1, g_2, \dots, g_t)$  where  $G$  is a Gröbner Basis for  $I$

$G \leftarrow F$

**repeat**

$G' \leftarrow G$

**for** all pairs  $\{p, q\}$  where  $p, q \in G', p \neq q$  **do**

$r \leftarrow \overline{S(p, q)}^{G'}$

**if**  $r \neq 0$  **then**

$G \leftarrow G \cup \{r\}$

**end if**

**end for**

**until**  $G = G'$

**return**  $G$

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<sup>3</sup>Donal O'Shea David A. Cox, John Little. Ideals, Varieties, and Algorithms: An Introduction to Computational Algebraic Geometry and Commutative Algebra.



# Reduced Gröbner Basis

## Definition (Reduced Gröbner Basis)

A reduced Gröbner basis  $G = \{g_1, g_2, \dots, g_t\}$  of an ideal  $I \subseteq \mathbb{F}[x_1, x_2, \dots, x_n]$  is such that  $\forall i, \text{LC}(g_i) = 1$  and no monomial of  $g_i$  belongs to  $\langle \text{LT}(G \setminus \{g_i\}) \rangle$ .

Also, a reduced Gröbner basis is unique for an ideal subject to monomial ordering.

Such, a Gröbner basis can be constructed by repeatedly removing  $g_i$  where  $\text{LT}(g_i) \in \langle \text{LT}(G \setminus \{g_i\}) \rangle$ . These new sets are also a Gröbner basis.

Note, the process of computing Gröbner basis is very expensive but once computed, we can solve plethora of applications as we will see in next parts.

# Elimination Theory I

Now, the key to eliminating variables from systems of polynomial equations lies in two step

**Elimination Step** With which we can eliminate certain variables from the equation to get “simpler” equations to work with and find solutions.

**Extension Step** Once we have solutions for “simpler” equations we can extend these to get solutions of original equations.

## Definition (Elimination Ideal)

For an ideal  $I = \langle f_1, f_2, \dots, f_s \rangle \subseteq \mathbb{F}[x_1, x_2, \dots, x_n]$ , the  $l$ -<sup>th</sup> elimination ideal  $I_l$  is the ideal in  $\mathbb{F}[x_{l+1}, x_{l+2}, \dots, x_n]$  defined by

$$I_l = I \cap \mathbb{F}[x_{l+1}, x_{l+2}, \dots, x_n] \quad (24)$$

Intuitively,  $I_l$  consists of functions in  $I$  which eliminate the variables  $x_1, x_2, \dots, x_l$ . Hence, the elimination step is to determine elements of  $I_l$ .

## Elimination Theory II

### Theorem (The Elimination Theorem)

For an ideal  $I \subseteq \mathbb{F}[x_1, x_2, \dots, x_n]$  and its Gröbner basis  $G$  with respect to lex order ( $x_1 > x_2 > \dots > x_n$ ),

$$G_I = G \cap \mathbb{F}[x_{l+1}, x_{l+2}, \dots, x_n] \quad (25)$$

where  $G_I$  is the Gröbner basis of the  $l$ -th elimination ideal.

### Theorem (The Extension Theorem)

For an ideal  $I = \langle f_1, f_2, \dots, f_s \rangle \subseteq \mathbb{C}[x_1, x_2, \dots, x_n]$  if its first elimination ideal is  $I_1$ . Then,

$$f_i = c_i(x_2, \dots, x_n)x_1^{N_i} + \text{terms in } x_1 \text{ with degree} < N_i \quad (N_i \geq 0, c_i \in \mathbb{C}[x_2, \dots, x_n] \setminus \{0\}) \quad (26)$$

If there exists a partial solution  $(a_2, \dots, a_n) \in \mathbf{V}(I_1)$

then  $(a_2, \dots, a_n) \notin \mathbf{V}(c_1, c_2, \dots, c_s) \Rightarrow \exists a_1 \in \mathbb{C}$  such that  $(a_1, a_2, \dots, a_n) \in \mathbf{V}(I)$ .

# Sudoku

## Example

7				1				5
		5				6	8	
	1	2					9	
					4			
1				7				3
			5					
	3					4	1	
	9	7				5		
6				3				2

7	6	9	8	1	3	2	4	5
3	4	5	2	9	7	6	8	1
8	1	2	6	4	5	3	9	7
5	7	6	3	8	4	1	2	9
1	2	4	9	7	6	8	5	3
9	8	3	5	2	1	7	6	4
2	3	8	7	5	9	4	1	6
4	9	7	1	6	2	5	3	8
6	5	1	4	3	8	9	7	2

Figure: Sudoku Game and its solution<sup>4</sup>

<sup>4</sup>Elizabeth Arnold, Stephen Lucas, and Laura Taalman. Gröbner basis representations of sudoku

# Sudoku I

## Formulation and Modelling

- The objective is to fill a  $m \times m$  grid ( $m = n^2$ ) with integers from 1 to  $m$  such that no row or column or block has a same number appear twice.
- Any such board, can be represented in the block matrix form with its each entry being a *block* of dimension  $n \times n$ .
- We model a sudoku using Boolean Polynomials by creating  $m \cdot (m^2) = m^3$  variables.
- $m$  boolean variables for every element of the grid.
- Let these variables be denoted by  $x_{i,j}$  where  $0 \leq i \leq m^2 - 1$  and  $0 \leq j \leq m - 1$ , where  $i$  represents the element number and  $j$  represents the value that element can take

# Sudoku

## Representation

There are three kinds of polynomial equations to be created to denote the following conditions,

- For every  $i$ , exactly one of  $x_{i,j}$  must be 1. This is achieved using following,

$$\forall i, \sum_{j=0}^{m-1} \prod_{k \neq j} x_{i,k} = 0 \quad (\text{for each } i, x_{i,j} = 0 \text{ for atleast } m - 1 \text{ } j\text{'s})$$
$$\forall i, \sum_{j=0}^{m-1} x_{i,j} = 1 \quad (\text{for each } i, \text{ not all } x_{i,j} = 0)$$
(27)

- For  $i_1, i_2$  such that they are in same row or column or block, they should not have the same number.

$$\sum_{j=0}^{m-1} x_{i_1,j} \cdot x_{i_2,j} = 0 \quad (\text{for all valid } (i_1, i_2) \text{ pairs})$$
(28)

- Encode the given value, if  $x_i$  is  $k$  then  $x_{i,j} = 1$  iff  $j == k - 1$ . (i.e., other  $x_{i,j} = 0$ )

# Sudoku

## Solution

- Create an ideal and add all the equations to it as polynomials and find its Gröbner basis  $G$ .
- If the system has no solution then  $G = \{1\}$ , else the polynomials of  $G$  are in eliminated form.
- If  $G$  contains  $m^3$  polynomials then there is a unique solution since each of the  $m^3$  variable will have it's own linear equation (as  $x^2 = x$  for binary numbers) which is  $x = 0$  or  $x + 1 = 0$ .
- If  $G$  contains less than  $m^3$  polynomials but more than one then  $x$ 's can be both 0 or 1 and  $x$  is either eliminated from the equation or it is uniquely dependent on other variables which are eliminated at a later stage.

# Sudoku

SageMath Demo.



# Conclusion

- **NP-hard** to compute and generated Gröbner Basis have polynomials of higher degrees and larger coefficients.
- Applications designed for Solving Polynomial Equations, Lagrange Optimizations. Check [here](#)
- More possible applications Vertex Coloring, Design of Computer Algebra Systems, Coding Theory are developed.
- Future Directions on Fast Computations of Gröbner Basis: Faugère's **F4** and **F5** algorithms and more application-specific development such as, **PolyBoRi**, a Gröbner basis framework for Boolean polynomials.

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